

#### Interoperability levels

Source: F. Oemig and R. Snelick, Healthcare Interoperability Standards Compliance Handbook, DOI 10.1007/978-3-319-44839-8 2



- Non-electronic information
- Machine Transportable
- Machine Organizable
- Machine interpretable



#### Non-electronic information

 Health information is recorded and shared on paper

e.g., a referral letter from a general practitioner to a specialist; a prescription from a doctor to a pharmacist

#### Machine Transportable

- Information technology is utilized
- Shared messages are <u>not standardized</u>

e.g. using fax or emails to share information



#### Machine Organizable

- Structured messages
- Messages don't contain structured data
- Interface between different information systems is required to *translate* the *structures* from one system to another



#### • Machine interpretable

- <u>Structured</u> messages
- Standardized data
- Receiver <u>understands</u> the <u>format</u> and <u>terms</u> in the message without the need of external interface to interpret the message

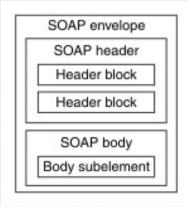
e.g., discharge summary can be transmitted from hospital health information systems to general physician, using structured format, used by both systems



## Interoperability Syntactic



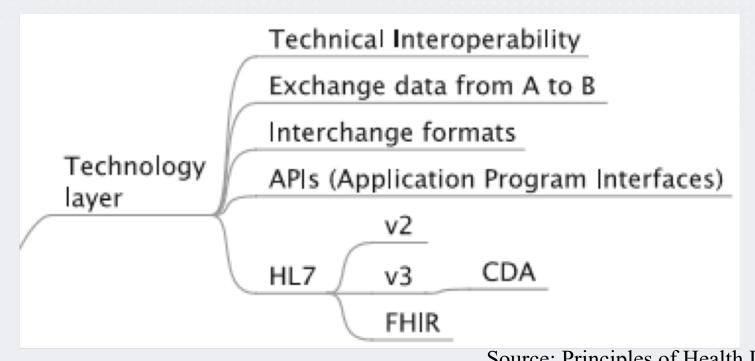
- Syntax: the grammar and formal rules for defining a set of data
- Syntactic interoperability is the ability of exchanging messages between systems
- Syntactic interoperability is domain independent; it does NOT know the *meaning* of the message being exchanged
- The data formats and communication protocols should be defined beforehand to establish syntactic interoperability
- e.g. XML and SQL standards are examples of tools for syntactic interoperability



- It can be defined on
  - "...is usually associated with hardware/software components, systems and platforms that enable machine-to-machine communication to take place. This kind of interoperability is often centered on (communication) protocols and the infrastructure needed for those protocols to operate" [ETSI 2006]
- It is associated with data formats
  - i.e. it requires communication protocols that have a well-defined syntax and encoding, which simply carry data or content, such as HTML, XML or ASN.12



• In the interoperability layers, Syntactic is considered addressed in the technical layer



• remember: Technical interoperability only guarantees the correct transmission of bits (i.e., messages), but nothing about the meaning of these bits and what they represent

- Syntactic interoperability is achieved when two (or more) systems are capable of communicating and exchanging data
  - No domain specific knowledge is necessary in this level
  - It allows the exchange of messages without any consideration of their contents
- For example,
  - the string, "20170115" can be identified as a string, a code or a date
  - the string, "4548-4" may represent a code
  - However, these without any further identifying information is *meaningless*
- Syntactic interoperability is <u>necessarily required</u> to achieve next levels of interoperability



## Interoperability Semantic



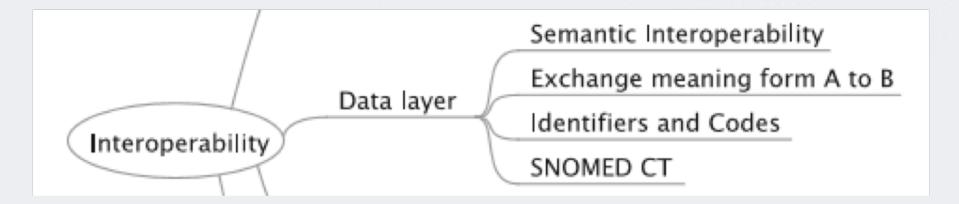
- It can be defined as
  - "the ability to import utterances from another computer without prior negotiation and have your decision support, data queries and business rules continue to work reliably against these utterances", [Dollin and Alschuler]
- In semantic interoperability, both the sender and receiver of the clinical message should **understand** the <u>same data</u> in the <u>same</u> way
- It allows computers to share, <u>understand</u>, <u>interpret</u>, and <u>use</u> data without <u>ambiguity</u>



- Semantic interoperability is beyond the ability of computer systems to just exchange messages,
  - It is the ability to communicate information and have that information correctly *interpreted* by the receiving system
  - It can be achieved by having both sides (i.e., the sender and receiver) to refer to the exchanged information to a *reference model*
- The correct <u>interpretation</u> of the received message is a necessary precondition to define, process, and store data entity correctly and meaningfully
- Syntactic interoperability is a *pre-requisite* for semantic interoperability (which defines the data format and communication protocols to structure the data being exchanged)



- Semantic interoperability is <u>domain</u> specific (e.g. clinical)
- Semantic interoperability is *context* specific (see next slides)
- It requires the use of unambiguous codes and identifiers



Source: Principles of Health Interoperability



### Context: General Medical Summary

#### List of diagnoses and procedures

1993	Procedure	Appendicectomy
1996	Diagnosis	Meningococcal meningitis
1997	Procedure	Termination of pregnancy
2003	Diagnosis	Acute psychosis
2006	Diagnosis	Schizophrenia

Can we safely interpret a diagnosis without its context?

## Clinical Interpretation Context

Emergency Department	Seen by junior doctor
Reason for encounter	Brought to ED by family
Symptoms	"They are try Junior doctor,
Mental state exam	Hallucina emergency situation, a working hypothesis
	Delusions so schizophrenia is not a
	Disordered reliable diagnosis
Diagnosis	Schizophrenia
Certainty	Working hypothesis
Management plan	Admission etc

- Syntactic interoperability Versus Semantic interoperability
  - Syntax: the <u>grammar</u> and formal <u>rules</u> for defining a set of data => Syntactic interoperability enables the <u>exchange</u> of clearly defined classes of data
  - Semantic: the <u>meaning</u> and the <u>use</u> of these data => Semantic interoperability enables the <u>automatic</u> <u>recognition/interpretation</u> of the individual data exchanged

In the semantic layer, <u>data</u> becomes [meaningful] <u>information</u>

[Woods 1975]



- Semantic interoperability, can be achieved only if:
  - The semantics of data are <u>defined</u> and <u>shared</u>.
  - The data can be processed and sent from one system to another and it can be <u>recognized</u> and <u>processed automatically</u>, i.e. machine processable
- This requires a <u>common definition</u> for each data in every field of a data set
- The main issue is defining common definitions of exchanged data
- The problem is in the <u>diversity</u> and <u>heterogeneity</u> of existing codes
  - e.g. A non-health example: an electronic invoices sent from a supplier's computer system are automatically recognized, compared to the delivery notification, and processed by the accounting system of the customer. This can be achieved by identifying the date of the invoice, its number, and the amount to pay



- One of the solutions, from the Computer Science perspective
  - Using *Ontologies*: ontologies map objects within dedicated *domains*
  - Terminology standards are built using/as ontologies: terminology standards are developed for different clinical domains.
  - e.g. ICD10: diseases, LOINC: lab tests, RxNORM: Drugs)
- e.g. in healthcare system, the code "4548-4" is associated with an exchanged clinical message/data made with a LOINC system, a specific meaning is derived (i.e., "Hemoglobin Alc/Hemoglobing.total in Blood")
- If this code is conveyed within an order to laboratory, a receiver may initiate a specific action



## **Interoperability Benefits and Challenges**



### Benefits of Interoperability

- Patients to benefit from *enhanced treatment* received
- Delivery of healthcare when/where required at any point of care.
- *Integrated care* plans by providers across one or more organisations
- National interoperability will facilitate emergency care **internationally**.
- Some health services can be become as **eHealth services** provided when needed
- Reduce Clinical/medication Errors, e.g. possible interoperability with pharmacy systems to reduce potential harm from drug interactions
- Better clinical decision making that leads to safer quality care by accessing health information linked to electronic patient record



#### Benefits of Interoperability

- Better decision making process by integrating healthcare systems across various points/organisations of care delivery
- Reduction of duplication of data entry
- Cost saving by reducing redundancy in duplicate diagnostic tests
- Benefit the software industry by enabling a single market for **digital healthcare** which leads to reduced cost of health information system development
- Faster access to care, diagnosis, tests, and treatments for health care providers, individuals, and insurance companies
- Patients can seek alternative medical treatment easily



## Challenges of Interoperability

- A key challenge in implementing interoperability standards is the **heterogeneity** of information systems
- Different software suppliers have different proprietary standards
- No single health information system to support all health services e.g. administrative, clinical, technical, and laboratory requirements
- Hence, interoperability standards became evident



#### References

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- Pradeep Sinha, Gaur Sunder, Prashant Bendale, Manisha Mantri, Atreya Dande, Electronic Health Record Standards, Coding Systems, Frameworks, and Infrastructures, John Wiley, 2012



# Thanks! Any questions?

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